

KENTUCKY DEPARTMENT OF EDUCATION

2002-2003 NONACADEMIC DATA REPORT GUIDELINES (2001-2002 SCHOOL YEAR DATA)

Retention Rate (grades 4-12)
Dropout Rate (grades 7-12)
Transition to Adult Life Success Rate (graduates)

The following guidelines are intended to provide specific information regarding definition and procedures for collecting data on grade 4-12 retentions; grade 7-12 dropouts; and transition to adult life for graduating seniors.

September 2003

Table Of Contents

CHANGES, SUMMARY OF CHANGES FOR NONACADEMIC DATA SUBMISSION	3
DEADLINES, SUMMARY OF DATA COLLECTION DEADLINES	3
DOCUMENTS,	
APPENDIX A NONACADEMIC COLLECTION DROPOUTS	18
NONACADEMIC DATA REPORT WORKSHEET FOR RETENTION AND DROPOUT DATA	
NONACADEMIC DATA REPORT WORKSHEET FOR TRANSITION TO ADULT LIFE DATA	
ANNUAL SENIOR TRANSITION SURVEY	
GRADUATE TRACKING TIP SHEET	
GRADUATE TRACKING LOG	
APPENDIX B Instructions for Web Submittal of Nonacademic Data	
APPENDIX C Regulations Relating to Nonacademic Data	30
DOCUMENTING SCHOOL LEAVER STATUS	5
DROPOUT DATA	
DROPOUT DATA & COLLECTION Q & A	8
EXPULSION CODES	11
INCLUSION OF STUDENTS WITH DISABILITIES IN TRANSITION TO ADULT LIFE DATA	14
RETENTION DATA	4
TRANSITION TO ADULT LIFE CODES	15
TRANSITION TO ADULT LIFE DATA	12
TRANSITION TO ADULT LIFE, Q & A	16
WITHDRAWAL CODES	10

Date	Activity
September 1	Transition to Adult Life verification period begins
October 1	Last date to verify 2001-2002 dropouts
November 1	Nonacademic Data Report including Retention, Withdrawal and Transition to Adult Life data due to KDE

The Nonacademic Data Report is due to KDE November 1, 2002

You will be submitting your Nonacademic Data Report via the Internet, as you did last year. Log into our website http://www.kde.state.ky.us/commiss/orp/dpmr/Nonacademic.asp, and key your data into our Nonacademic Data Report Application. This web address is different from last year. If you go to the KDE web site (www.kde.state.ky.us), you can choose Non-academic Data from the drop-down menu. Then, click on the link "Districts, submit your non-academic data here" and it will take you to the address listed above.

Assistance:

For assistance please contact Chris Thacker at (502) 564-5279.

SUMMARY OF CHANGES FOR 2002 NONACADEMIC DATA SUBMISSION

There are no changes to the current year collection; data submission is the same as last year.

Retentions

Student retention from the 2001-2002 school year for grades four through twelve (4-12) must be noted, by grade level, in the *Nonacademic Data Report* submitted to KDE via the web site. You can use the worksheet on page 19 to assist with this task.

Dropout Data

We will be collecting dropout data broken down by gender and race, as we did last year. You can use the worksheet on page 19 to assist with this task.

Dropout Definition

- 1. According to the National Center for Educational Statistics (NCES) definition adopted by the Kentucky Board of Education, a **dropout** is an individual who:
 - (1) Was enrolled in school at some time during the previous school year (2001-2002);
 - (2) Was not enrolled at the beginning of the current school year (2002-2003);
 - (3) Has not graduated from high school or completed a state or district approved educational program; and
 - (4) Does not meet any of the following exclusionary conditions:
 - a) Transfer to another public school district, private school, or state or district approved education program,
 - b) Temporary absence due to suspension, or
 - c) Death.

When determining dropouts, the following definitions apply:

- (1) A **school year** is defined as the 12-month period of time beginning with the opening day of the 2001-2002 school year and ending the day prior to the opening of the 2002-2003 school year.
- (2) A school **completer** has graduated from high school, or completed an approved educational program, upon receipt of formal recognition from school authorities.
- (3) A state or district approved program may include special education programs, home and hospital (homebound) instruction, or some other certification differing from the regular diploma.
- (4) Transfer may be demonstrated through a transcript request or other documentation giving evidence of continuing elementary or secondary education.
- 2. Schools are accountable for students for a full school year as defined above. Summer dropouts are accounted for in this system. A summer dropout should be counted in the grade and year in which s/he failed to return to in the fall. If a student completed the 2001-2002 school year, dropped out over the summer and

- did not return to school by October 1st, that student would be submitted as a 2002-2003 dropout in your fall 2003 submission.
- 3. Schools are responsible for verifying the whereabouts of all students enrolled for the 2001-2002 school year who withdrew from the school.
- 4. Schools must account for withdrawals in grades 7-12. Any student in grades 7-12 who is not accounted for is considered a dropout. A dropout rate in elementary schools (entry level, primary and grades 4-6) is not calculated for accountability purposes.
- 5. The W5 code is used specifically to account for verified transfer students. The code W16 should be used to account for students who were:
 - (1) Enrolled at the end of the 2001-2002 school year and failed to enroll in this or any other school district by October 1 of the 2002-2003 school year; or
 - (2) Has moved out of this public school district for which enrollment elsewhere has not been substantiated.
 - (3) Students formerly classified as W12 who cannot be verified to be in a court prescribed educational program.

W16's are considered dropouts.

- 6. Consistent with the spirit of KERA, calling for schools to reduce physical and mental barriers to learning, a student who is ill and participating in an approved home and hospital (homebound) instruction program is not considered a dropout. However, a student coded as W7 (neither in school, nor participating in an approved educational program) is a dropout. Schools should update W7 data from the 2001-2002 school year to account for students who were coded as W7's and re-enrolled in school for the 2002-2003 school year. Students, previously coded as W7 that re-enter schools by October 1 of the following school year are not considered dropouts.
- 7. Students coded in the following categories will be considered dropouts: W6, W7, W16, W18 and XP3. A complete description of all withdrawal (W) codes is found on page 10. A complete description of all expulsion (XP) codes is found on page 11.

Documenting School Leaver Status

- Each school must document a student as a legitimate school leaver (i.e., documented transfer or completer), or the student is automatically considered a dropout. Documentation helps to ensure uniform and comparable dropout data across schools, districts, and states.
- 2. In general, documentation will involve:
 - (a) A formal notice of some kind (i.e., transcript request); or

Formal notice includes:

- 1. A formal request for transcript (or other written documentation) will suffice to verify that a student has transferred to another school. Date of receipt of request should be maintained, along with the address of the school to which the student has transferred. It is not necessary to verify that the student is actually attending the new school or to follow up with the student any further following receipt of a transfer request. 702 KAR 7:125 requires requests for records and other information involving the transfer of pupils be maintained in the student's permanent file.
- 2. Notes from an exit interview with a school official, Director of Pupil Personnel records, letters from parents and the like are acceptable written documentation of school leaver status, if they document an actual status, not just intent. The communication must document that the student is enrolled in an approved educational program as opposed to the student's intent to enroll.

Notification by responsible adult includes:

- 1. Responsible adult includes parent or guardian, school official, faculty member, or other adult with responsibility for the student (e.g., pupil personnel, medical doctor, corrections official, etc.).
 - For example, if the school contacts a parent and the parent says that the student has taken a job and dropped out, written documentation of the phone call will suffice to verify the status of the student as a dropout.
- Responsible adult can also include a family member (grandparent, sibling, aunt, etc.), responsible neighbor, or friend or local community member at least 14 years of age who can verify the whereabouts of the school leaver. <u>The person must have direct knowledge of the school leaver's whereabouts</u>. Second-hand information is not valid.

EXAMPLES OF VERIFIED TRANSFER STUDENTS:

- George's mother asked for a copy of his immunization records and told the school counselor their family was moving to Orange County, Florida in a few weeks. An inquiry from the district to the Orange County schools confirmed that George was enrolled in 7th grade at Orange County Middle School.
- In a telephone call, George's mother told the attendance clerk that George was just finishing his first semester as a seventh grader in Orange County Middle School.
- The school received a formal request for George's records from Orange County Middle School in Florida since he had recently enrolled as a seventh grader.

EXAMPLES OF DROPOUTS:

- ullet Tammy enrolled in Mountain High School in September 2001 as a ninth-grader 6 and completed the fall and spring semesters (2001-2002 school year). By October 1, 2002, Tammy did not show up at Mountain High School. Her enrollment could not be substantiated elsewhere. This would make Tammy a summer dropout and thus would be counted as a 2002-2003 dropout for her sophomore year.
- In a telephone call, Tammy's older sister told the attendance clerk that Tammy worked at a local store and did not plan to return to school.

	Chart below will assist you in determining school leaver status. FUDENT WHO:	DROPOUT?	CODE
1.	Graduated	No	
2.	Was in membership only during the summer following the 2001-2002 school year (not officially in membership)	No	
3.	Left school after passing the age up to which district must provide free public education	Yes	W18
4.	Died	No	
5.	Has not graduated or completed an approved program, and educational status subsequent to leaving school is unknown	Yes	W16
6.	Moved out of district or state and is not known to be in school	Yes	W16
7.	Transferred to, and is in membership in: a.) Another public school district in or out of state b.) Private school in or out of state c.) Early college (baccalaureate credit) admissions before receiving high school diploma d.) Legitimate home school e.) Expelled for behavioral reasons withdrawn to a state agency. f.) Expelled for behavioral reasons withdrawn to a regional alternative facility.	No No No No No No	
8.	Enrolled in an institution that is not primarily educational (Military, VoTech, etc.), not considered special school district	Yes	W6 or W18 (Age dependent)
9.	Is in district and not in school: a.) Long term absence because of illness and not receiving educational services (i.e., residential drug treatment center, severe physical or emotional illness) b.) Absent because of disciplinary action 1.) Expelled for behavioral purposes, being provided educational services by the expelling local school district. 2.) Expelled for behavioral purposes, being provided educational services by a regional alternative facility not run by the expelling local school district. 3.) Expelled for behavioral reasons and not being provided educational Services 4.) Expulsion period has expired, and student has not returned to	Yes No No Yes Yes	W7 XP3 W16
	school. 5.) Expelled, transferred to and enrolled in membership in another school district.	No	Wis
10.	Is in alternative educational setting (i.e., home and hospital (homebound) instruction, special education residential facility, correctional institution, community or technical college): a.) Program administered by agency considered a special school district or extension of a regular school district in alternative educational setting (i.e. home and hospital (homebound) instruction, special education residential facility, correctional institution, community or technical college). b.) Program is off-campus offering of regular school district. c.) Program administered by agency considered a special school district or extension of a regular school district.	No No No	W6 or W19
	 d.) Program not approved or administered by district; program classified as adult education. 	Yes	W6 or W18 (Age dependent)

QUESTIONS AND ANSWERS ABOUT DROPOUT DATA & COLLECTION

1. How long is our school responsible for students?

Each school is responsible for all students who have enrolled in the school until students are verified as transferring to an approved school or verified as graduated. Any student enrolled during any part of the 2001-2002 school year who does not re-enter school by October 1 and is not verified as enrolled in an acceptable educational program is considered a dropout.

Enrolled in 2001-2002 and:
Returns to school by 10/1/02
Withdrew in 2001-2002 and returns by 10/1/02
Completed 2001-2002 school year and
does not return to school by 10/1/02
Withdrew in 2001-2002 and returns after 10/1/02
Withdrew in 2001-2002 and returns by
10/1/02, but withdraws after 10/1/02

Status:
Not a dropout
Not a dropout
2002-2003 dropout
2001-2002 dropout
2002-2003 dropout

2. What about students who drop out and return to school?

If a student leaves school and does not transfer to an approved school, then they are considered dropouts. If a student returns to school by October 1 the student is not a dropout and should be coded with the appropriate re-entry code.

3. What about students who drop out and return to school more than once during the year?

If a student drops out more than once during the school year, the student should only be counted as a dropout one time per year. By submitting withdrawal data in the fall instead of June, each school should be able to provide an accurate dropout count.

4. What about students enrolled in drug or alcohol treatment programs?

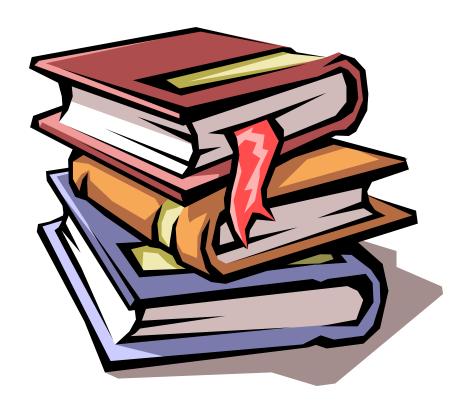
If a student is receiving educational services they are not considered a dropout. However, if a student does not return to school after the end of the treatment period, he or she is considered a dropout. If a student is not receiving educational services as part of his or her treatment program, the student is coded as a W7.

5. What about students who are in alternative programs?

Students who are enrolled in alternative programs affiliated with A1-A6 schools are not considered dropouts.

6. How will dropout data be used in the school accountability system for this accountability cycle?

The dropout rate is combined with other Nonacademic indicators (attendance, retention and transition). Nonacademic indicators are combined with cognitive measures (Reading, Math, Science, etc.) to form a school accountability index.



DEFINITIONS OF WITHDRAWAL CODES

- W01: A pupil transferred to another homeroom in the same school. The re-entry code to use with W01 shall be R01.
- W02: A pupil transferred to another public school in the same public school district. The re-entry code to use with W02 shall be R02.
- W03: A pupil transferred to a nonpublic school in this public school district. The re-entry code to use with W03 shall be R03.
- W04: A pupil transferred, without change of residence, to a school outside this public school district. The re-entry code to use with W04 shall be R04, R05, or R07.
- W05: A pupil who has moved out of this public school district and for whom a request for student records has been received or enrollment has been substantiated. The reentry code to use with W05 shall be R04, R05, or R07.
- W06: A pupil who is 16, but not yet 18 years of age and has withdrawn. The re-entry code to use with W06 shall be R06.
- W07: A pupil withdrawn due to those communicable medical conditions that pose a threat in school environments listed in 902 KAR 2:020, section 2(1), accompanied by a doctor's statement certifying the condition, or any other health related condition for which the student is too ill to participate in regular school attendance or local homebound instructional services, accompanied by a doctor's statement certifying the condition. The re-entry code to use with W07 shall be R06.
- W08: A pupil withdrawn due to death.
- W09: A pupil graduated prior to the end of the school term or year.
- W10: A pupil who has been expelled for behavioral reasons withdrawn to a state agency. The re-entry code to use with W10 shall be R03 or R05, if the student returns to the expelling local school district in the current school year after the expulsion period has been completed. The re-entry code to use with W10 is R10 if the student returns to the expelling local school district in the current school year prior to completion of the expulsion period.
- W11: A pupil who has been expelled for behavioral reasons withdrawn to a regional alternative facility not run by the expelling local school district. The re-entry code to use with W11 shall be R04 if the student returns in the current school year after the expulsion period has been completed. The re-entry code to use with W11 is R11 if the student returns in the current school year prior to completion of the expulsion period.

- W12: A pupil under the jurisdiction of the court. The re-entry code to use with W12 shall be R06. For end of year adjustments, for accountability purposes, a W12 shall be recorded as a W16 if the district cannot substantiate enrollment in the proper educational setting designated by the court.
- W13: A pupil withdrawn for a second or subsequent time who initially withdrew as W06, W07, W10, W13, W16 or W18, and has previously been reported as a dropout for accountability purposes. The re-entry code to use with W13 shall be R06.
- W16: A pupil who has moved out of this public school district for whom enrollment elsewhere has not been substantiated. For end of year adjustments for accountability purposes, the W16 code shall be applicable to pupils enrolled at the end of the previous school year who failed to enroll in this or any other school district at the beginning of the current school year.
- W17: An entry-level student in the primary program, withdrawn during the first two (2) school months due to immaturity or mutual agreement by the parent, guardian, or other custodian and the school in accordance with 704 KAR 5:060.
- W18: A pupil eighteen (18) years of age or over who has withdrawn. The re-entry code to use with W18 shall be R06.

DEFINITIONS OF EXPULSION CODES

- XP1: A student expelled from school during the current school year for behavioral reasons, being provided educational services by the expelling local school district.
- XP2: A student expelled from another local school district during the current school year for behavioral reasons, being provided educational services by a regional alternative facility not run by the expelling local school district.
- XP3: A student expelled from school during the current school year for behavioral reasons, not being provided educational services.

Please note: A withdrawal code is used when a student is expelled to a state agency (W10) or regional alternative facility not run by the expelling school district (W11).

Dropout codes are in bold face: W06, W07, W16, W18, and XP3.

10

COLLECTION OF TRANSITION TO ADULT LIFE DATA

The purpose of tracking graduates is to determine the degree to which Kentucky graduates are able to make a successful transition to adult life as required by KERA. **Graduate** refers to any student receiving a high school diploma or other certificate of successful completion (special education) between the opening day of the 2001-2002 school year and the day prior to the opening of the 2002-2003 school year.

Definition of Successful Transition to Adult Life

- 1. A graduate shall be considered successful if he or she is:
 - a.) Enrolled as a full-time student at a Postsecondary school (a minimum of 12 units per semester);
 - b.) Employed at least 30 hours per week in a permanent position; employment includes paid work (self-employed or for a business), caring for children/family in the home, community service, or religious duties;
 - c.) An active member of the United States military; or
 - d.) Involved in any work/school combination adding up to at least 30 hours per week.
- 2. High schools must verify the status of each 2001-2002 graduate. Data must be received by KDE no later than November 1, 2002. School personnel can use the information provided by seniors on the Senior Transition Survey completed in the spring of 2002 as a lead in verifying the status of each graduate.
- 3. Work (employment) includes:
 - a.) Paid employment;
 - b.) Employments as intern, apprentice, missionary, volunteer in community service;
 - c.) Unpaid labor in family business (such as a family farm);
 - d.) Caring for home/family; or
 - e.) Seasonal employment if it is cyclical rather than temporary.

- 4. Work (employment) does not include:
 - a.) Temporary short-term employment;
 - b.) Seasonal employment on a one-time basis (not cyclical);
 - c.) Taking care of home/family due to unemployment; or
 - d.) Travel primarily for recreation.
- 5. If a graduate is working and going to school, the following table should be used in determining whether s/he is considered successful for accountability purposes. "School/Work combination" is one of the categories for the status of a graduate. This category should be used to indicate a successful work-school combination as shown in the following table:

Minimum School/Work Requirements for Successful Graduates

SCHOOL COURSE LOAD	+	MINIMUM WORK PER WEEK	EXAMPLES OF SUCCESSFUL GRADUATES
Full-time:			
12 semester units (6 quarter units)	+	None required	Full-time student in college, vocational, technical, or special school.
Part-time:			
9-11 semester units	+	5.0 hrs*	Part-time student taking 10 units of college courses and working in a cafeteria 6 hrs per week.
6-8 semester units	+	12.5 hrs	Part-time student taking 6 units of courses in technical school and working 14 hours in an auto repair shop.
3-5 semester units	+	20 hrs	Part-time night student taking 5 units of courses and working at least 20 hours at a day job.
1-2 semester units	+	25 hrs	Graduate cares for family/home at least 25 hours per week and takes 2 units at local college.
Not in school	+	30.0 hrs	Gainfully employed at least 30 hours per week.

* EXAMPLE: A high school graduate enrolled in 10 semester units of courses is fulfilling 10 of the required 12 semester units, which is 5/6th of what is necessary to be considered successful. The remaining 1/6th can be accomplished by 5 (5/30 = 1/6) hours of work per week (5/6 course load + 1/6 workload = 100%).

. .

Inclusion of Students with Disabilities in the Measure of the Percentages of Students Making Successful Transitions to Adult Life

With the exception of students who are eligible for participation in the Alternate Portfolio Assessment Program, schools that serve students with disabilities will be held accountable for these students' successful transition to adult life using the same standards applied to calculate this non-academic indicator for all other students.

Students eligible for the Alternate Portfolio Assessment may be considered to have made successful transitions to adult life if they:

- Make a successful transition to adult life as defined for the regular population of students; or
- 2. Enroll as a full- or part-time student (no less than ten hours per week), at a post secondary vocational school or adult education program preparing students for integrated work; or
- 3. Work in an integrated setting (for at least 10 hours per week) where the majority of workers are not disabled and/or are participating in supported employment (P.L. 99-506 defines supported employment as competitive work in an integrated work setting with ongoing support services for individuals with severe disabilities).

Graduates transitioning to **community rehabilitation programs** shall be considered successful if the post-secondary training/employment takes place in an integrated environment.

Documenting the Status of Graduates

In order for this process to be successful, school personnel responsible for verification will need to use their best judgment to make some of the more difficult determinations of graduate status. For example, if a graduate has secured a full-time job but employment doesn't begin until December 1st, she should be considered successful. However, a graduate would not be considered successful if at the time of verification, he had found a four (4) week only temporary job.

Please follow these guidelines:

- 1. Each high school or district will need to select personnel responsible for tracking and verifying the status of all 2001-2002 high school graduates.
- 2. Trackers may use the information provided by seniors in the Senior Transition Survey that was completed in the spring 2001 to help verify graduates. Each completed survey should provide the former student's address, home phone number, and the name and phone number of someone who was expected to stay in contact with the graduate.

- 3. If this information proves to be inadequate, check other school records for additional information. There may be an address, telephone number, or other information that can be used to track the graduate.
- If the tracker is unable to contact the graduate, the status of a high school graduat 14 can be verified by:
 - a.) a family member (parent/guardian, grandparent, sibling, aunt, etc.) at least 14 years of age who knows the status of the graduate;
 - b.) a responsible neighbor, friend, or local community member at least 14 years of age who knows the whereabouts of the graduate;
 - c.) the employer for whom the graduate is working;
 - d.) the postsecondary school, college, or university in which the graduate is enrolled; or
 - e.) the military service in which the graduate is enlisted.
- 5. Verification can take place in person, by telephone, fax, or written letter of confirmation with individuals or groups described above.
- 6. Once the status of a graduate has been successfully verified, the tracker should complete the last section of the Senior Transition Survey. This information should then be aggregated and entered in the web application provided by KDE. Schools should retain completed surveys for record-keeping purposes; surveys may be used for random audits by KDE as part of the school accountability system.

Transition to Adult Life Codes

SUCCESSFUL

- 1 College in KY (at least 12 semester units)
- **2** College out of KY (at least 12 semester units)
- **3** Vocational/Technical/Special school (full-time)
- **4** Military Service (full-time)
- 5 Employment (at least 30 hours per week)
- **6** Work-school combination (at least 30 hours per week combined)

UNSUCCESSFUL

7 Unsuccessful (including un- or under-employed graduates and graduates who could not be found or verified)

Note: Please do not include foreign exchange students or deceased graduates in your male/female, ethnicity or transition status totals.

QUESTIONS & ANSWERS ABOUT TRANSITION TO ADULT LIFE DATA

1. Which graduates am I responsible to verify?

Any 2001-2002 graduate who received a diploma or certificate of successful completion between the opening day of the 2001-2002 school year and the day prior to the opening of the 2002-2003 school year. A-1 schools are responsible for tracking, verifying, and submitting to KDE the status of graduates from corresponding A2-A6 schools and 999 programs.

2. What about students who were seniors in 2000-2001 but did not graduate until the fall of 2001?

These students are considered 2001-2002 graduates and their status needs to be verified. Students who were seniors in 2001-2002 who will not graduate until after the opening of the 2002-2003 school year are considered 2002-2003 graduates, and their status does not need to be verified this year.

3. What about graduates who were former Special Education students?

You will need to verify all former Special Education students who received a diploma or certificate of successful completion, regardless of whether they participated in the 12th grade assessment (writing portfolio). However, you will be able to indicate whether or not this student completed an alternate portfolio.

4. What about graduates who transfer to another public high school during the year?

The rule of thumb is that each A-1 high school is responsible for verifying any 2001-2002 graduate, including graduates from corresponding A2-A6 schools, 998 and 999 (Home and Hospital) programs. If a student transfers from one A-1 high school to another A-1 high school and graduates, then the high school from which the student graduates is responsible for submitting the transition data.

5. What if a graduate is a member of a military reserve unit but is not active more than one (1) weekend a month?

All graduates must work or go to school at least 30 hours per week in order to be considered successful. A graduate who is solely a member of the reserves would not fulfill this requirement and would be considered unsuccessful.

6. What if I cannot locate the graduate?

You do not necessarily need to locate the graduate in order to verify his or her status. You will need to verify the status of a graduate with any responsible adult who has been in recent contact with him or her and can clearly describe what the graduate is currently doing.

7. Can a graduate verify what his or her peers are doing?

16

Yes. In fact, it might be easiest to have one graduate verify that other former high school classmates are enrolled in the same institution (i.e., college, vocational, or special school, etc.). The same holds true for graduates working in the same place of employment; one graduate can verify the status of the other graduates.

8. What if the person I'm talking to is not sure what the graduate is doing?

You will need to use your best judgment. If the person heard the graduate talking about future plans, then this is not a reliable source of verification. If the person saw the graduate at a job site two weeks ago as a full-time employee, then this is more reliable information.

9. How do I know whether a graduate is taking care of home/family out of choice or unemployment?

If the graduate is taking care of the home or family, ask if the graduate is also seeking employment outside of the home (i.e., applying for jobs). This, and other related questions, can help you to make this determination.

10. What if I cannot verify a graduate?

The graduate should be coded as unsuccessful (transition code 7).

11. How will Transition to Adult Life data be used in the school accountability system for this Accountability Cycle?

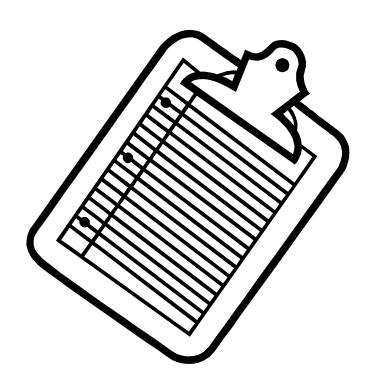
First, the Transition to Adult Life success rate will be combined with other Nonacademic indicators (attendance, retention and dropout). Second, Nonacademic indicators will be combined with cognitive measures (Reading, Math, Science, etc.) to form a school accountability index.

12. What do I do if a graduate is deceased or is a foreign exchange student?

If a graduate is deceased or was a foreign exchange student, do not include this student in your male/female, ethnicity or transition status totals.

APPENDIX A

WORKSHEETS FOR COLLECTION OF NONACADEMIC DATA



Nonacademic Data Report Worksheet for 2001-2002 Student Retention and Dropout Data

District Name:					District Number:			
School Name:					Schoo	ol Number:		
Retention Totals								
04	0	5	06	07	08	09		10
11	12	2						
Dropout Grade	Totals	Female	African	American	Asian	Hispanic	White	Other
			American	Indian/Alaskan Native				
07								
08								
09								
10								
11								

12

Totals

^{*}Total by Gender _____

^{*}Total by Ethnicity _____

^{*} Please make sure that your total by gender equals total by ethnicity.

Nonacademic Data Report Worksheet for 2001-2002 Graduate Transition to Adult Life Data

District Name:					District Number:				
School Nar	ne:			_ S	School Number:				
Gender ai	nd Ethnic	ity for Gra	aduates						
Male	Female	African American	American Indian/Alask Native		sian	Hispanic	White	Other	
*Total by Gender: *Total by Ethnicity:									
Transitio	on Status	for Gradu	ates						
1	2		3	4		5	6	7	
*Total by	Transition	Status:							
*Please ma		•	s equal. The	e total by	gend	er, total by e	thnicity and t	total by transition	
Transition 1		es: least 12 seme	ester units)						

- 3 Vocational/Technical/Special School (full-time)4 Military Service (full-time)
- 5 Employment (at least 30 hours per week)

2 College- out of KY (at least 12 semester units)

- 6 Work-school combination (at least 30 hours per week combined)
- 7 Unsuccessful (including un- or under-employed graduates and graduates who could not be found or verified)

ANNI	UAL SEN	IOR TRANSIT	ION SURVEY School Year	:
1.	ABOUT YOU	URSELF: Comple	te the information below (ple	ase print)
Your	name:	First Name	MI	Last Name
Addre	ess:	Street Address		
		City	State	Zip Code
Home	Phone:	()		
Pareı	nt/Guardia	an: First Name	MI	Last Name
High	School:		which you are graduating	
Date	of Birth	:		
Gende	er: □ Ma	le ∏ Female		
RACE	_	rican American spanic	☐ American Indian/Alaskan Native☐ White	☐ Asian ☐ Other

	2. WHAT DO YOU PLAN TO DO AFTER GRADUATION? (Please print)							
If you do not know what you will be doing, provide your best guess.								
☐ Go to School (ful school)	l or part-time in a college, voca	ational, technical, or special						
	Name of School	Location (City, State)						
First Choice								
Second Choice								
∏ Find a job	Name of Company	Location (City, State)						
First Choice								
Second Choice								
☐ Join the military Army: ☐ Navy: ☐	: (check one) Coast Guard: ☐ Air Force: ☐	Marines: ☐						
· —	ring for home/family							
_								
☐ Participate in co	mmunity service (Peace Corps, VI	STA, religious duties, etc.)						
☐ Other (describe):								
3. How can we reach you this fall? (Provide the address and phone number of someone, different than above, who will stay in contact with you.)								
number of some	eone, different than above,	the address and phone who will stay in contact Permanent street address						
number of some with you.)	eone, different than above,	who will stay in contact						
number of some with you.)	eone, different than above,	who will stay in contact Permanent street address						
number of some with you.) Name of friend/fa	eone, different than above,	who will stay in contact Permanent street address ()						
number of some with you.) Name of friend/factorics City FOR	amily member State Zip	who will stay in contact Permanent street address () Telephone						
number of some with you.) Name of friend/fa	amily member State Zip Graduate was verified by:	Permanent street address () Telephone Status of Graduate:						
number of some with you.) Name of friend/fa City FOR SCHOOL USE ONLY	amily member State Zip Graduate was verified by: Graduate	Permanent street address () Telephone Status of Graduate: 1 College in Kentucky						
number of some with you.) Name of friend/fa City FOR SCHOOL USE ONLY Seniors- do not	amily member State Zip Graduate was verified by: Graduate Parent/guardian	Permanent street address () Telephone Status of Graduate: 1 College in Kentucky 2 College- out of state						
number of some with you.) Name of friend/fa City FOR SCHOOL USE ONLY	amily member State Zip Graduate was verified by: Graduate Parent/guardian Sibling/friend	Permanent street address () Telephone Status of Graduate: 1 College in Kentucky 2 College- out of state 3 Voc/tech/Special School						
number of some with you.) Name of friend/fa City FOR SCHOOL USE ONLY Seniors- do not complete this	amily member State Zip Graduate was verified by: Graduate Parent/guardian Sibling/friend Neighbor/relative	Permanent street address () Telephone Status of Graduate: 1						
number of some with you.) Name of friend/fa City FOR SCHOOL USE ONLY Seniors- do not complete this	amily member State Zip Graduate was verified by: Graduate Parent/guardian Sibling/friend Neighbor/relative Post-secondary school	Permanent street address () Telephone Status of Graduate: 1						
number of some with you.) Name of friend/factiv FOR SCHOOL USE ONLY Seniors- do not complete this section	amily member State Zip Graduate was verified by: Graduate Parent/guardian Sibling/friend Neighbor/relative Post-secondary school	Permanent street address () Telephone Status of Graduate: 1						

GRADUATE TRACKING TIP SHEET

PAPE	PAPERWORK NEEDED FOR TRACKING					
	LIST OF 2001-2002 GRADUATES FOR YOUR HIGH SCHOOL					
	SENIOR TRANSITION SURVEYS					
	GRADUATE TRACKING LOG (OPTIONAL)- MAKE A COPY OF THIS FORM FOR EVERY 2001-2002 GRADUATE					
	OTHER RELEVANT SCHOOL FILES (I.E. STUDENT RECORDS)					
STEP	S FOR TRACKING STUDENT					
	TRACKING LOG:					
	Fill in relevant information regarding graduate on your graduate-tracking log (one per student)					
	SCHOOL CONTACTS:					
	Utilize contacts from your school. Contact a teacher, club advisor, vice principal, a student, relative or one of the student's friends in school who might be able to give you current information on the student. This person must be at least 14 years old. Follow through with any suggestions, using directory assistance, referrals, and any other leads you may develop.					
	FAMILY, SCHOOL AND EMPLOYERS: From annual senior transition survey, locate the section that lists the number where student can be located in the fall. Try reaching the student, responsible adult (family member at least 14 years of age, neighbor, etc.), employer, school or military service for information. If no number is provided, check with the school or organization that the student may have listed (employer, military, etc.) for more information. Keep track of all calls on your graduate-tracking log.					
	STUDENT RECORD FILE: If no information provided through annual senior transition survey, research the student record file for a phone number so that you can contact the student's family or guardian.					
	OTHER RESOURCES: If you are unable to gain information for a student from any resources listed above, you may try to contact the Department of Motor Vehicles (DMV). Note: To do so, the DMV might require her date of birth and/or license number. A postal substation may be able to provide you with a forwarding address.					

GRADUATE TRACKING LOG

NAME OF GRA	DUATE:		ID#:	SCHOOL:
PHONE LOG				
DATE/TIME	TRACKER	PHONE# CALLED	PERSON CONTACTED	RESULT
MAIL LOG			1	,
DATE	TRACKER	PERSON/ADDI	RESS CONTACTED	RESULT
		<u> </u>		
OTHER ATTEM	IPTS			
			CTIVITY	RESULT

APPENDIX B

INSTRUCTIONS FOR WEB SUBMITTAL OF NONACADEMIC DATA



INSTRUCTIONS FOR SUBMITTAL OF NONACADEMIC DATA VIA THE WEB

- 1) Ask your Web Applications Administrator Point of Contact (WAAPOC) to set you up a User ID and Password. Any questions regarding your district's point of contact can be addressed by contacting Renee Carter at (502) 564-2020 or by emailing the KETS Web Applications administrator (found on the global address list).
- 2) Have the schools complete the worksheet(s) that you will find in the Nonacademic Guidelines for retentions, dropouts and transition to adult life data.
- 3) Log into our web site: http://www.kde.state.ky.us/commiss/orp/dpmr/Nonacademic.asp
- 4) Select the 2002-2003 Nonacademic Data Report. You will need to type in your User ID and Password.
- 5) Choose 'Enter/Review School Data' and choose the school you wish to complete information for. You will then fill in numbers for retention (page 1), dropout (page 2) and transition to adult life (page 3) data. If you are keying in high school data, you will see all three pages. For elementary and middle schools, you will only see the appropriate worksheets: Retentions for grades 4-12, Dropouts for grades 7-12 and Transition to Adult Life for last year's graduates only.
- 6) After you have keyed in your data on each worksheet, you click 'Continue'. On the last worksheet for each school, you will click on 'Continue' and this will return you to the 'School Profile' page where you can then select another school and repeat the process of steps 5 through 7. Please note that if you are in the middle of keying in your data for a school, and get interrupted, you can choose save and exit. This will allow you to save what you have keyed in so that you can continue the data entry at another time.
- 7) Once you have entered all your data, you can choose 'Mark Schools Complete'. At this time, you can choose 'View Report' to review your nonacademic data. Once you have reviewed your data for accuracy, you can mark your schools complete.
- 8) If you find that you have marked a school complete and need to make a change, you will be able to go back to the 'Mark Schools Complete' page and remove the check mark that designates that school as complete. You will not be able to do this once you have marked your district complete.
- 9) After you have marked all schools complete, you will be given the option to 'Mark District Complete'. Once you have marked your district complete, you are finished. District Assessment Coordinators should mark district complete (if not the person keying in the data), certifying the data as correct.

If you need assistance with submittal of this data, please contact Chris Thacker at 502/564-5279. Examples of the screens that you will see in the Nonacademic Web application are on the following pages.

District: Test Co									
School Worksheet # 1: School Profile									
District:	Test Co								
School Name:	Test ⊟ementary ▼								
	Please select the school for which you wish to enter data before clicking any of the buttons below.								
Continue Go Back S	ave and Exit								
school.cfm									
District: Tost	chool: Test Elementary								
Nonacademic Works	heet #1:								
Retentions									
Please report the nun	ber of students retained during the 01-02 school year.								
Totals reported can not exceed enrollment of:									
Grades									
4	0								
5	0								
No Retentions Reported for this school:									

Page 1 of 3
NonCog1.cfm

Continue

Go Back

Save and Exit

District: Test	School: Test
Co	Middle

Nonacademic Worksheet #2

Dropout Totals

Please report the number of students that were dropouts in the 01-02 school year. The total dropouts broken down by gender should **equal** total number by race.

Totals reported can not exceed enrollment of:

	150		

GRAD E	Male	Femal e	African Amer	Am Ind/Alsk Nat	Asian	Hispani c	White	Other
7	0	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0	0

No Dropouts Reported for this school: \Box

Continue	Go Back	Save and Exit

Page 2 of 3
NonCog1A.cfm

District: Test

Co

School: Test High School

Nonacademic Worksheet #3

Transition to Adult Life - Graduates Only

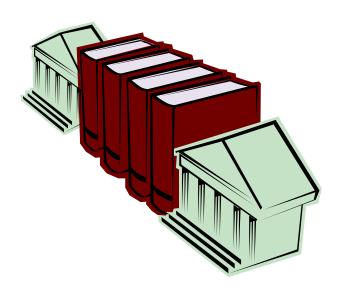
Please report the number of students by Gender, Ethnicity and Transition Status. Be sure the gender and ethnicity totals are equal.

Gender and	Male s	Female s	African American	Alaskan Native	Asian	Hispani c	White	Other		
Ethnicity:	6	6	2	0	0	1	9	0		
									Continue	Go Back
Transition	1	2	3	4	5	6	7		Save and E	≘xit
Status:	2	2	2	2	2	0	2		Page 3 of	3

NonCog2.cfm

APPENDIX C

REGULATIONS RELATING TO NONACADEMIC DATA



EDUCATION, ARTS, AND HUMANITIES CABINET

Kentucky Board of Education

Department of Education

Bureau of Learning Support Services

(New Administrative Regulation)

703 KAR 5:130. School district accountability.

RELATES TO: KRS 158.645, 158.6451, 158.6453, 158.6455

STATUTORY AUTHORITY: KRS 156.070, 158.6455

NECESSITY, FUNCTION, AND CONFORMITY: KRS 158.6455 authorizes the Kentucky Board of Education to promulgate an administrative regulation establishing a local school district accountability program. This administrative regulation establishes eligibility for district rewards, and it establishes procedures for determining assistance and other consequences for local school districts having schools in need of assistance as defined in 703 KAR 5:020.

Section 1. Definitions. (1) "District evaluation team" means one (1) or more scholastic audit teams as established in 703 KAR 5:120.

(2) "Level 3" means a classification assigned to a school that has an index score that places it in the lowest one-third (1/3) of all schools below the assistance line.

Section 2. (1) Dropout data generated at an A2-A6 school shall be attributed to the school district in which the A2-A6 school is located, unless the district exercises the option in subsection (2) of this section.

(2) If a district where an A2-A6 school is located can identify the A1 school which would have served the student if the student had not required services offered by the A2-A6 school, then the dropout data regarding that student shall be assigned to the A1 school. If a school district exercises this option, the district shall accurately report specific student dropout data to the district containing the accountable A1 school to be included in the nonacademic data reported the Department of Education. If, after reasonable effort, the district cannot determine the proper A1 school of accountability, the district may request that the Kentucky Department of Education assign the data to the proper district or regional data.

Section 3. A local school district in which all schools are classified as progressing or meets goal under 703 KAR 5:020 and meets the dropout criteria established for schools in order to earn rewards in 703 KAR 5:020 shall be declared an exemplary growth district and shall receive rewards as determined by the Kentucky Board of Education.

Section 4. (1) A local school district shall be held accountable for providing its schools appropriate instructional leadership and instructional support.

- (2) A local school district containing a school that is classified as Level 3 that was not classified as Level 3 the previous accountability cycle shall modify its district consolidated plan by including a specific support plan designed to assist each Level 3 school in improving its academic achievement. The plan shall address each of the areas listed in Section 5 of this administrative regulation and shall be sent to the local board of education members and to the Level 3 school council members or, if none exists, the principal, for approval.
- (3) If a school is classified as Level 3 for two (2) or more consecutive accountability cycles, the school district shall be subject to a district audit conducted by a district evaluation team. The team shall review each of the areas outlined in Section 5 of this administrative regulation and the district's implementation of the previous accountability cycle's school support plan. The district audit team shall also evaluate the district as to district responsibilities using "Standards and Indicators for School Improvement", which is incorporated by reference in 703 KAR 5:120.

Section 5. A local school district shall address the following areas in its school support plan:

- (1) Instructional leadership shall include evidence that the local school district provides:
- (a) Instructional staff access to curriculum-related materials and training necessary to use curricular and data resources relating to the goals for Kentucky public schools established in KRS 158.645 and 158.6451 and the academic expectations established in 703 KAR 4:060 and the school's performance trends, which include state assessment data and other student achievement performance measures identified by the district;
- (b) A professional development planning process that results in training activities provided for the certified staff within the goals established in KRS 158.6451 and the local needs assessment required in 704 KAR 3:035, annual professional development plan. The district shall include evidence that it equitably and effectively distributes professional development resources and has designed a district professional development program based on student achievement data; and
- (c) A structure for instructional improvement including evidence that the local school district is actively supporting a systematic, school improvement planning process involving appropriate stakeholder groups, including parents, business representatives, and the general public, and the district is using all available and appropriate data;
- (2) Financial services and support shall include evidence that district resources have been distributed to each school equitably and consistently in accordance with the requirements of 702 KAR 3:246, School council allocation formula. The district shall also demonstrate that decisions about discretionary funds and other available resources not included in the school allocation formula are directed by an assessment of need or a required plan, all of which are data driven;

- (3) Safe and secure instructional facilities shall include evidence of adequate and equitable maintenance of facilities. In addition, safe and secure instructional facilities shall include evidence that the school district has reviewed and assisted in the implementation of the school-based safety plans dealing directly with issues related to discipline and a safe school environment; and
- (4) An effective certified employee evaluation program shall include evidence that the evaluation of the principal and certified staff has been implemented in a regular and timely manner consistent with the district's approved evaluation plan submitted under KRS 156.101 and that the evaluation process focuses on improving instruction.

Section 6. The district evaluation team shall submit a report, including its recommendations, to the Commissioner of Education, the district superintendent, and the local board of education within two (2) weeks of its review. The report shall be presented by a member of the district evaluation team at a local board of education meeting with opportunity for public comment. The district evaluation team recommendations may include the following:

- (1) No additional action is needed because the district is effectively implementing its school support plan which reflects strategies to meet the needs of the Level 3 school;
- (2) Revisions to the school support plan are needed even though the district has effectively implemented its plan;
- (3) Revisions in implementation procedures are needed as implementation of the school support plan is not effective; or
- (4) A management audit as provided in KRS 158.785 and 703 KAR 3:205 is needed because the district has not effectively developed or implemented its school support plan.